

Docket No. 268018US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hans-Ulrich PETEREIT, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP03/11545

GAU:

FILED: Herewith

EXAMINER:

FOR: METHODS FOR COATING SUBSTRATES FOR PHARMACEUTICAL USES WITH A MIXTURE OF TWO FILM-FORMING COATING AGENTS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

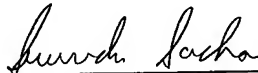
CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 268018US0PCT		SERIAL NO. New U.S. PCT Application Based on PCT/EP03/11545	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Hans-Ulrich PETEREIT, et al.			
FILING DATE Herewith				GROUP			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	2002/192285	MULYE, Nirmal				
	AB	6,368,629	WATANABE, Shunsuke et al.				
	AC	6,378,789	SEAMAN, Jr., Charles et al.				
	AD	4,705,695	DREHER, Dieter et al.				
	AE	2001/055619	WEISBROD, Wolfgang et al.				
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO			
	AO 39 21 403	02/08/90	DE		NO		
	AP 1 240 826	09/18/02	EP		NO		
	AO 1 576 075	10/01/80	GB		NO		
	AR 91/19486	12/26/91	WO		NO		
	AS 0 523 847	01/20/93	EP		NO		
	AT 00/05307	02/03/00	WO(with English abstract)		NO		
	AU 0 848 960	06/24/98	DE		NO		
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner				Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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STATEMENT OF RELEVANCY

- 1) References AA-AE & AO-AS have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References _____ have been cited in the corresponding _____ Search Report. A copy of these references is being submitted herewith.
- 3) References AT & AU are discussed in the specification. A copy of these references is being submitted here with.
- 4) Reference _____ is additional prior art known to Applicant. A copy of these references is being submitted herewith.